Attachment 4

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| FINES, PROSECUTIONS, ADVERSE FINDINGS AND CONVICTIONS |

The applicant is to identify all fines, prosecutions, adverse findings and convictions in the last 3 years as well as action taken by applicant to address these matters including Work Health and Safety; Environmental matters.

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| **Date** | **Fine****Prosecution****Adverse finding****Conviction** | **Name of Authority initiating action** | **Detailed description of the reason for an Authority initiating an action leading to a fine, adverse finding, prosecution or conviction** | **Contract name, Contract description, purpose of facility to be constructed and site location** | **Action taken by applicant to*** **immediately address the matter at the time**
* **improve the corporate policy, procedures and management systems**
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